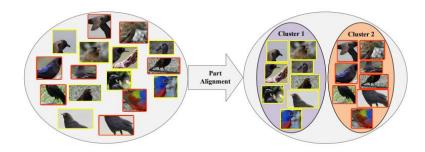
EECS 542: Class Presentation - Parts and Wholes

Attentional Constellation Nets for Few-shot Learning [2021]

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Problem Statement

Objective: To address the limitations of existing CNN frameworks in capturing explicit structured features, particularly object parts, for few-shot learning.





(a) Object-Level Attention Model

(b) Part-Level Attention Model

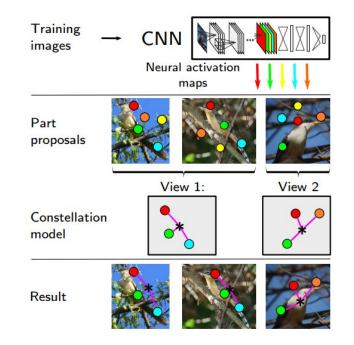
Previous Work 1 : Constellation

Neural Activation Constellations [2015]

1. Deep neural activation maps identify CNN channels as part detectors.

2. Unsupervised data selection of part detectors based on similar relative locations forms part models.

3. Utilizing these part models extracts object part features for weakly-supervised classification.



Improvements in Constellation Architecture

| Aspect | Neural Activation Constellations | Attentional ConstellationNet (Ours) | | | |
|------------------------------------------------|---------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--|--|--|
| Part representation and spatial modeling | Gaussian-based constellation module | Cell feature clustering and self-attention | | | |
| Integration and Optimization | Constellation module optimization is separate from CNN optimization | Seamlessly integrates constellation modules with CNNs, jointly optimizing them | | | |
| Representation Utilization | Extracts sparse part representations | Utilizes dense cell features from CNN feature maps | | | |

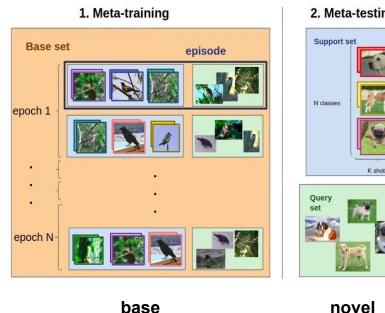
Few-shot Learning

Standard Classification

- Train and test dataset have same categories
- C-base = C-novel •

Few-shot Classification

- Train and test dataset have different • categories to ensure fairness
- C-base \cap C-novel = Ø •







support

query

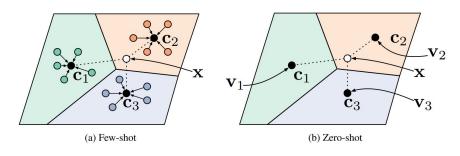
Previous Work 2 : Few-shot learning

Prototypical Networks [2017]

1. Utilizes a metric-based approach for few-shot learning.

2. Computes prototype representations of classes in a learned feature space.

3. Assigns new instances to the nearest prototype class for classification.



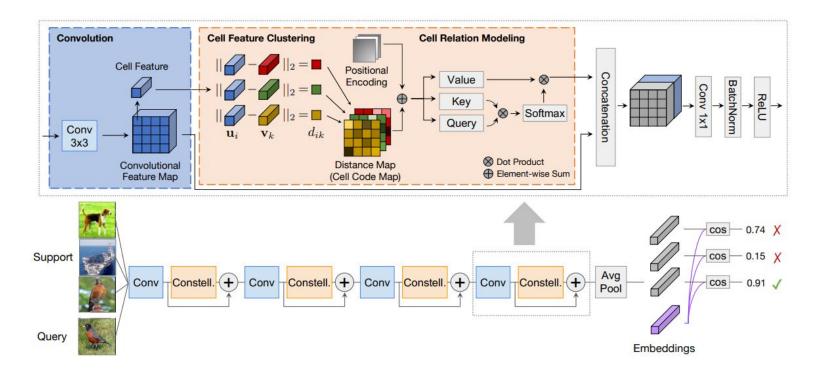
Algorithm 1 Training episode loss computation for Prototypical Networks. N is the number of examples in the training set, K is the number of classes in the training set, $N_C \le K$ is the number of classes per episode, N_S is the number of support examples per class, N_Q is the number of query examples per class. RANDOMSAMPLE(S, N) denotes a set of N elements chosen uniformly at random from set S, without replacement.

| Input: Training set $\mathcal{D} = \{(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_N, y_N)\}, \mathbf{x}_N$ subset of \mathcal{D} containing all elements (\mathbf{x}_i, y_i) such that | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Output: The loss J for a randomly generated training | |
| $V \leftarrow \text{RANDOMSAMPLE}(\{1, \dots, K\}, N_C)$ | Select class indices for episode |
| for k in $\{1,, N_C\}$ do | - |
| $S_k \leftarrow RANDOMSAMPLE(\mathcal{D}_{V_k}, N_S)$ | Select support examples |
| $Q_k \leftarrow RANDOMSAMPLE(\mathcal{D}_{V_k} \setminus S_k, N_Q)$ | Select query examples |
| $\mathbf{c}_k \leftarrow \frac{1}{N_C} \sum_{(\mathbf{x}_i, y_i) \in S_k} f_{\boldsymbol{\phi}}(\mathbf{x}_i)$ | ▷ Compute prototype from support examples |
| end for | |
| $J \leftarrow 0$ | ▷ Initialize los |
| for k in $\{1,, N_C\}$ do | |
| for (\mathbf{x}, y) in Q_k do | |
| $J \leftarrow J + \frac{1}{N_C N_Q} \left[d(f_{\phi}(\mathbf{x}), \mathbf{c}_k)) + \log \sum_{k'} \exp\left(\frac{1}{N_C N_Q} \right) \right]$ | $\exp(-d(f_{\phi}(\mathbf{x}), \mathbf{c}_{k'}))$ \triangleright Update loss |
| end for | - |
| end for | |

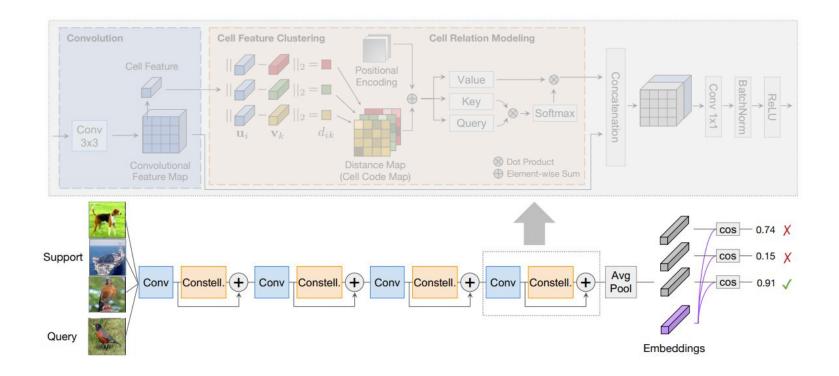
Improvements in Few-shot framework

| Aspect | ProtoNet | Attentional ConstellationNet (Ours) | | | | |
|----------------------------------------|--------------------------------------------|-------------------------------------|--|--|--|--|
| Explicit Structured Representations | Metric-based framework | Explicit structured representations | | | | |
| Distance | Euclidean distance | Cosine similarity | | | | |
| Training Scheme | Prototypical scheme (episodic learning) | Standard classification scheme | | | | |

ConstellationNet pipeline

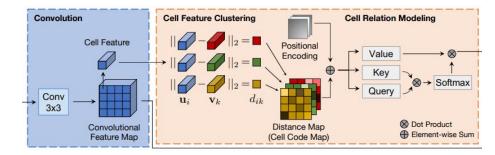


ConstellationNet pipeline - Network architecture



Constellation block - Overview

- **Cell Features**: Dense, individual local feature at a position in the feature map
- **Clustering**: Generates cell codes to model the underlying distribution of input cell features
- **Spatial Position Encoding**: Includes positional encoding for spatial information.
- **Tokenized Representation**: Represents cells as code + positional encoding.
- Self-Attention: Captures part relationships and spatial configurations using self-attention.



Cell Feature Clustering

Input cell features $U = {u_1, u_2, ..., u_n}$

1. Initialization

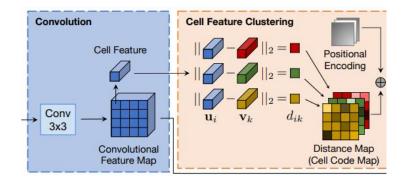
$$\mathcal{V} = \{\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_K\}$$
 $\mathbf{s} = (s_1, s_2, ..., s_K) = \mathbf{0}$

2. Cluster Assignment

$$d_{ik} = ||\mathbf{u}_i - \mathbf{v}_k||_2^2, \qquad m_{ik} = \frac{e^{-\beta d_{ik}}}{\sum_j e^{-\beta d_{ij}}}, \qquad \mathbf{v}'_k = \frac{\sum_i m_{ik} \mathbf{u}_i}{\sum_i m_{ik}}$$

3. Centroid Movement & Counter Update

$$\mathbf{v}_k \leftarrow (1 - \eta)\mathbf{v}_k + \eta \mathbf{v}'_k, \qquad \eta = \frac{\lambda}{s_k + \Delta s_k}$$
$$\Delta \mathbf{s} = \sum_i \mathbf{m}_i \qquad \mathbf{s} \leftarrow \mathbf{s} + \Delta \mathbf{s}$$



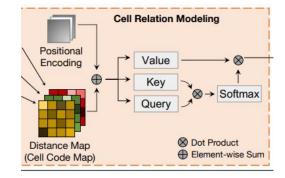
Cell Relation & Spatial Configuration Modeling

Self-attention mechanism is used to build the spatial relationship

 $\mathbf{F}_{\mathrm{I}} = \mathrm{SpatialFlatten}(\mathbf{D} + \mathbf{P}) \in \mathbb{R}^{B \times HW \times K}, \quad \mathbf{F}_{\mathrm{I}}' = \mathrm{SpatialFlatten}(\mathbf{D}) \in \mathbb{R}^{B \times HW \times K}$

$$\begin{split} [\mathbf{F}^{q}, \mathbf{F}^{k}, \mathbf{F}^{v}] &= [\mathbf{F}_{\mathrm{I}} \mathbf{W}^{q}, \mathbf{F}_{\mathrm{I}} \mathbf{W}^{k}, \mathbf{F}_{\mathrm{I}}^{\prime} \mathbf{W}^{v}] \\ \mathbf{F}_{\mathrm{A}} &= \mathrm{Att}(\mathbf{F}^{q}, \mathbf{F}^{k}, \mathbf{F}^{v}) = \mathrm{softmax} \Big(\frac{\mathbf{F}^{q} (\mathbf{F}^{k})^{\top}}{\sqrt{K}} \Big) \mathbf{F}^{v} \end{split}$$

 $\mathbf{F}_{\mathrm{MHA}} = \mathrm{MultiHeadAtt}(\mathbf{F}^{q}, \mathbf{F}^{k}, \mathbf{F}^{v}) = [\mathbf{F}_{1}, ..., \mathbf{F}_{J}]\mathbf{W}, \qquad \mathbf{F}_{j} = \mathrm{Att}(\mathbf{F}_{j}^{q}, \mathbf{F}_{j}^{k}, \mathbf{F}_{j}^{v})$



Experiments with Standard Benchmarks

Datasets: CIFAR-FS, FC100, mini-ImageNet

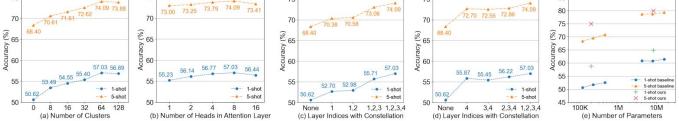
| Model | Backbone | mini-ImageNet 5-way | | | |
|----------------------------------------------|-----------|------------------------------------|------------------------------------|--|--|
| model | Duckbone | 1-shot | 5-shot | | |
| Meta-Learning LSTM (Ravi & Larochelle, 2016) | Conv-4 | 43.44 ± 0.77 | 60.60 ± 0.71 | | |
| Matching Networks (Vinyals et al., 2016) | Conv-4 | 43.56 ± 0.84 | 55.31 ± 0.73 | | |
| Prototypical Networks (Snell et al., 2017) | Conv-4 | 49.42 ± 0.78 | 68.20 ± 0.66 | | |
| Transductive Prop Nets (Liu et al., 2018) | Conv-4 | 55.51 ± 0.86 | 69.86 ± 0.65 | | |
| MetaOptNet (Lee et al., 2019) | Conv-4 | 52.87 ± 0.57 | 68.76 ± 0.48 | | |
| Negative Margin (Liu et al., 2020) | Conv-4 | 52.84 ± 0.76 | 70.41 ± 0.66 | | |
| ConstellationNet (ours) | Conv-4 | $\textbf{58.82} \pm \textbf{0.23}$ | $\textbf{75.00} \pm \textbf{0.18}$ | | |
| SNAIL (Mishra et al., 2018) | ResNet-12 | 55.71 ± 0.99 | 68.88 ± 0.92 | | |
| TADAM (Oreshkin et al., 2018) | ResNet-12 | 58.50 ± 0.30 | 76.70 ± 0.30 | | |
| TapNet (Yoon et al., 2019) | ResNet-12 | 61.65 ± 0.15 | 76.36 ± 0.10 | | |
| Variational FSL (Zhang et al., 2019) | ResNet-12 | 61.23 ± 0.26 | 77.69 ± 0.17 | | |
| MetaOptNet (Lee et al., 2019) | ResNet-12 | 62.64 ± 0.61 | 78.63 ± 0.46 | | |
| CAN (Hou et al., 2019) | ResNet-12 | 63.85 ± 0.48 | 79.44 ± 0.34 | | |
| SLA-AG (Lee et al., 2020) | ResNet-12 | 62.93 ± 0.63 | 79.63 ± 0.47 | | |
| Meta-Baseline (Chen et al., 2020) | ResNet-12 | 63.17 ± 0.23 | 79.26 ± 0.17 | | |
| AM3 (Xing et al., 2019) [†] | ResNet-12 | 65.21 ± 0.30 | 75.20 ± 0.27 | | |
| ProtoNets + TRAML (Li et al., 2020) | ResNet-12 | 60.31 ± 0.48 | 77.94 ± 0.57 | | |
| AM3 + TRAML (Li et al., 2020) [†] | ResNet-12 | $\textbf{67.10} \pm \textbf{0.52}$ | 79.54 ± 0.60 | | |
| Negative Margin (Liu et al., 2020) | ResNet-12 | 63.85 ± 0.81 | $\textbf{81.57} \pm \textbf{0.56}$ | | |
| ConstellationNet (ours) | ResNet-12 | 64.89 ± 0.23 | 79.95 ± 0.17 | | |

| Model | Backbone | CIFAR- | FS 5-way | FC100 5-way | | |
|--------------------------------------------|-----------|----------------------------------|----------------------------------|----------------------------------|-------------------|--|
| | Duckbone | 1-shot | 5-shot | 1-shot | 5-shot | |
| MAML (Finn et al., 2017) | Conv-4 | 58.9 ± 1.9 | 71.5 ± 1.0 | | - | |
| Prototypical Networks (Snell et al., 2017) | Conv-4 | 55.5 ± 0.7 | 72.0 ± 0.6 | 1-1 | - | |
| Relation Networks (Sung et al., 2018) | Conv-4 | 55.0 ± 1.0 | 69.3 ± 0.8 | 120 | 12 | |
| R2D2 (Bertinetto et al., 2018) | Conv-4 | 65.3 ± 0.2 | 79.4 ± 0.1 | - | - | |
| SIB (Hu et al., 2020) | Conv-4 | 68.7 ± 0.6 | 77.1 ± 0.4 | - | - | |
| ConstellationNet (ours) | Conv-4 | $\textbf{69.3} \pm \textbf{0.3}$ | $\textbf{82.7} \pm \textbf{0.2}$ | - | - | |
| Prototypical Networks (Snell et al., 2017) | ResNet-12 | 72.2 ± 0.7 | 83.5 ± 0.5 | 37.5 ± 0.6 | 52.5 ± 0.6 | |
| TADAM (Oreshkin et al., 2018) | ResNet-12 | 111 - 111 - 111 | - | 40.1 ± 0.4 | 56.1 ± 0.4 | |
| MetaOptNet-RR (Lee et al., 2019) | ResNet-12 | 72.6 ± 0.7 | 84.3 ± 0.5 | 40.5 ± 0.6 | 55.3 ± 0.6 | |
| MetaOptNet-SVM (Lee et al., 2019) | ResNet-12 | 72.0 ± 0.7 | 84.2 ± 0.5 | 41.1 ± 0.6 | 55.5 ± 0.6 | |
| ConstellationNet (ours) | ResNet-12 | $\textbf{75.4} \pm \textbf{0.2}$ | $\textbf{86.8} \pm \textbf{0.2}$ | $\textbf{43.8} \pm \textbf{0.2}$ | 59.7 ± 0.2 | |

- ConstellationNet significantly performance better across various few-shot learning settings
- Improvement over both shallow & deep networks across all three datasets shows generality

Effectiveness of modules

| Baseline | Cell Feature | Cell Relation | Multi | Feature | Extra | 1x1 | #Params | Conv-4 | | ResNet-12 | |
|--------------|-----------------|--------------------------------------------|-----------------|--------------|---------------|--------------|-----------|------------------------------------|------------------------------------|------------------------------------|------------------|
| | Clustering | Clustering Modeling Branch Augment Channel | Channels | Convolution | Conv-4/Res-12 | 1-shot | 5-shot | 1-shot | 5-shot | | |
| ~ | | | | | | | 117K/8.0M | 50.62 ± 0.23 | 68.40 ± 0.19 | 60.77 ± 0.22 | 78.76 ± 0.17 |
| ~ | | | | | ~ | | 222K/16M | 51.76 ± 0.22 | 69.54 ± 0.18 | 61.45 ± 0.22 | 79.33 ± 0.16 |
| \checkmark | \checkmark | | | | | | 146K/8.3M | 53.34 ± 0.23 | 70.61 ± 0.19 | 62.24 ± 0.23 | 79.55 ± 0.16 |
| \checkmark | | \checkmark | | | | | 184K/9.7M | 55.92 ± 0.23 | 73.02 ± 0.18 | 62.75 ± 0.23 | 79.21 ± 0.17 |
| \checkmark | | \checkmark | | | | \checkmark | 192K/8.4M | 55.46 ± 0.23 | 72.52 ± 0.18 | 61.54 ± 0.24 | 76.51 ± 0.18 |
| ~ | \checkmark | ~ | | | | | 200K/8.4M | 57.03 ± 0.23 | 74.09 ± 0.18 | 63.36 ± 0.23 | 79.72 ± 0.17 |
| 1 | \checkmark | 1 | \checkmark | | | | 200K/8.4M | 58.37 ± 0.23 | 74.52 ± 0.18 | 64.62 ± 0.23 | 79.60 ± 0.17 |
| ~ | \checkmark | ~ | \checkmark | \checkmark | | | 200K/8.4M | $\textbf{58.82} \pm \textbf{0.23}$ | $\textbf{75.00} \pm \textbf{0.18}$ | $\textbf{64.89} \pm \textbf{0.23}$ | 79.95 ± 0.17 |
| | | | | | | | WRN | | | WideResNet-28-10 | |
| \checkmark | | | | | \checkmark | | 36.5M | | | 61.54 ± 0.25 | 79.41 ± 0.23 |
| 75 | 71.61 72.62 | 72.00 | .00 73.25 73.79 | 74.09 73.41 | 75 | 73.06 | 75 | 72.70 72.55 72 | 85 74.09 80 | | *-X-* |



Performance gain of ConstellationNet is unmatched by increasing the model complexity of baselines

Visualization of cluster centers



Layer 1



Layer 2



Layer 3



Layer 4







Unicycle wheels (w/ human legs)

Dog's legs

Other legs

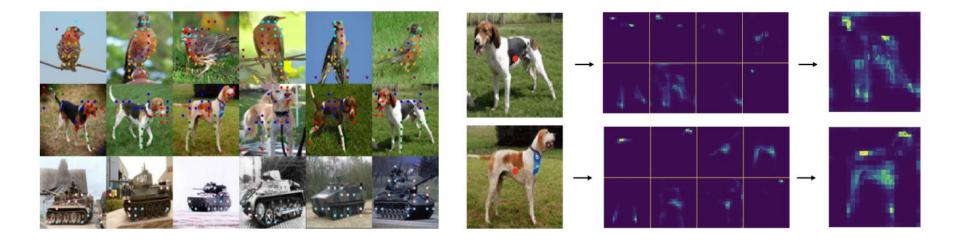






Bird's head

Visualization of cells assignment and attention maps



Review

Summary

The paper introduces Attentional Constellation Nets as a novel framework for few-shot learning. By integrating CNNs with a constellation model featuring cell-wise clustering, self-attention, and dense part representations, ConstellationNet enhances structured features, improving CNNs' robustness in few-shot scenarios. The method demonstrates significant performance gains over existing approaches, showcasing its promise in addressing few-shot learning challenges in computer vision.

Strengths

- Innovative Integration: merges CNNs with a constellation model, addressing structured feature limitations for few-shot learning
- Extensive Evaluation: Comprehensive assessment on benchmarks demonstrates significant performance enhancements over existing methods.
- Clear Explanations: Provides clear descriptions of framework components, aiding reader comprehension

Weaknesses

- Ablation Study Detail: Lacks detailed discussion on ablation study outcomes to reinforce robustness.
- Comparative Analysis: Requires deeper comparison against state-of-the-art few-shot learning methods to highlight competitiveness.
- Computational Efficiency Insight: Absence of discussion on computational efficiency limits insights into practical feasibility

Final Rating: Weak Accept Confidence: 4

Justification: The approach is with promising results but lacks in-depth comparative analysis and details on computational efficiency

Future Directions

- 1. **Hierarchical Constellation Modeling** to capture more intricate spatial relationships and part-based representations for enhanced feature learning.
- 2. **Dynamic Attention Mechanisms** to enable the network to dynamically adjust attention weights based on the importance of spatial configurations and parts.
- 3. **Incorporating Few-shot Regression** could open avenues for broader applications in diverse domains beyond classification.

Discussions

- 1. How might the **hierarchical integration** of constellation modeling impact the interpretability and generalization of learned representations, especially when handling complex visual tasks in diverse domains beyond image classification?
- 2. What are the potential implications of incorporating dynamic attention mechanisms within ConstellationNet for addressing the scalability and adaptability of few-shot learning in real-world scenarios?
- 3. Considering the reliance on **unsupervised part-discovery strategies** in ConstellationNet, how could this approach influence the network's robustness to domain shifts or data augmentation, and what implications does it have for transfer learning and model adaptation across various datasets?

Answers for Discussion

- 1. Hierarchical modeling might improve interpretability but could pose challenges in computational efficiency, demanding exploration for optimal task-specific levels.
- 2. Dynamic attention could enhance adaptability by allowing ConstellationNet to adjust focus based on data complexity, potentially improving generalization on novel tasks.
- 3. Relying on unsupervised part-discovery may confer robustness to domain shifts, but its effectiveness across diverse real-world scenarios needs further investigation.

Piazza Discussions

- 1. What is the reason/intuition behind the performance being much better by the combination of explicit structured features and spatial relations, rather than simply increasing CNN channels? <u>116_f5</u>
 - a. Comment: Increasing the number of CNN channels can capture more patterns in the data, but it may not necessarily encode specific structured information or spatial relationships explicitly.
- 2. The visualizations confirm the clustering is learning semantically meaningful parts. Quantitatively evaluating the part quality could be an interesting analysis. <u>116_f10</u>
- 3. Why cell features from a convolutional layer are representative for relational modeling? <u>116_f7</u>